

## Disposition Table – Railway Standards Code, Issue 5

Revisions made throughout the document	Type of change
Replacing all references to the Railway Interoperability Directive 2008/57/EC and Railway Safety Directive 2004/49/EC with the Railways (Interoperability) Regulations 2011 (as amended) and the Railways and Other Guided Transport Systems (Safety) Regulations 2006 (as amended), as appropriate.	Legislation
Replacing all references to Technical Specifications for Interoperability (TSIs) with National Technical Specification Notices (NTSNs), as appropriate.	Legislation
Expanding the Code to include procedures for RISs, NOPs and recommendations for NTSN revisions. This is mainly done by defining a new term of 'Railway Standards' and expanding all current procedures for RGSs in the Code to cover these (except deviations and where otherwise specified), most notably in section 6.	Scope
Changing 'Standards Committees' to lower case 'standards committees' other than for specific, named committees.	Editorial
Making terms gender neutral ('Chairman' replaced by 'chair', 'his' replaced by 'their' etc.)	Diversity and Inclusion

From Code Issue 4	To Code Issue 5	Section title (in Issue 5)	Comments	Type of change
Cover sheet			Renaming 'Railway Group Standards Code' as 'Railway Standards Code' to reflect the changes in the scope of the document.	Scope
			Updating the description of the Code to reflect the changes in the scope of the document.	Scope
			Updating details e.g. Issue number, dates of publication, adding to the issue record, email contact details, removing physical address/phone number.	Editorial
			Updating the name of the 'Office of Rail Regulation' to the 'Office of Rail and Road'.	Editorial

From Code Issue 4	To Code Issue 5	Section title (in Issue 5)	Comments	Type of change
<b>1</b>		<b>Background</b>		
1.1		Interoperability	Substantial revisions to the background section to re-justify the principles and purpose of the Code by establishing RGSs as containing National Technical Rules applicable to the mainline system. This also clarifies the role of the Secretary of State and that the Code covers the RGS process rather than designation of National Technical Rules.	Legislation
N/A	1.2	Operating Licences	Adding a new section to justify the incorporation of RISs with reference to the operating licence Statement of National Regulatory Provisions (SNRP).	Application
1.2	1.3	Purpose of the Code	Expanding to cover RISs and recommendations for NTSN changes and add procedures for monitoring and reviewing RGSs and RISs.	Scope
<b>2</b>		<b>Definitions</b>		
2.1		Definitions	<ul style="list-style-type: none"> <li>Adding a definition for 'industry categories' (with reference to the RSSB constitution) for completeness.</li> <li>Adding definitions for 'National Operations Publication (NOP)', 'National Technical Specification Notice (NTSN)', 'Rail Industry Standard (RIS)' and 'Railway standard' to reflect changes to the scope.</li> <li>Removing the definition of 'Railway system' as this is not used in the Code and was previously defined in Safety Directive but is not defined in ROGs. 'Mainline railway system' is the relevant term.</li> <li>Removing the definition of 'Technical Specification for Interoperability (TSI)'.</li> <li>Moving definitions for 'Specific case' and 'Structural subsystem' to the Manual as these are no longer used in the Code.</li> <li>Amending definitions that refer to the Interoperability and Safety Directives to refer to the relevant parts of RIR and ROGs respectively.</li> <li>Removing the restatement of the term as part of the definition.</li> </ul>	Editorial
2.2		Definitions	Editorial change to the RIR reference for consistency.	Editorial
<b>3</b>		<b>Responsibilities of transport operators</b>		

From Code Issue 4	To Code Issue 5	Section title (in Issue 5)	Comments	Type of change
3.1	Responsibilities of transport operators		Clarifying that not all transport operators participate in all committees – they provide representatives for election.	Process
			Adding 'drafting review groups' to 3.1a) for completeness.	Process
			Extending the requirements for proposals to develop, revise or withdraw an RGS to apply to RISs and NTSNs.	Scope
<b>4</b>	<b>Committees</b>			
4.1	The Board of RSSB		Adding reference to section 8.1 (the RSSB Board function for intervention and appeals).	Editorial
4.2	Industry Standards Co-ordination Committee		Expanding ISCC's role to include NTSN recommendations, RISs and consideration of international (in addition to European) standards issues.	Scope
4.3	Standards committees		Expanding standards committees' role to include RISs and approving the industry recommendations for NTSNs.	Scope
4.4	Membership of committees		Adding requirement for the details of the point of contact for the committee to be published.	Process
4.5	Method of decision taking by committees		Minor editorial changes	Editorial
4.6	Administrative support for committees		No changes	N/A
<b>5</b>	<b>Scope, decision taking principles and content of railway standards</b>			
5.1	Scope of RGSs and RISs		Adding a reference to the Manual for descriptions of the scope of a RIS or NOP.	Scope
5.2	Decision taking principles		Splitting decision taking principles into principles for: <ul style="list-style-type: none"> <li>NTSNs, RGSs and RISs (promoting long-term best interests of the railway), and</li> <li>NTSNs and RGSs only (additionally meeting essential requirements).</li> </ul>	Scope
5.3	Content of RGSs and RISs		Expanding 'content of RGSs' to include the content of RISs.	Scope

From Code Issue 4	To Code Issue 5	Section title (in Issue 5)	Comments	Type of change
			Adding the 'rationale for the requirement' to the content of RGSs and RISs and removing references to new, upgrades, renewals to make this applicable to RISs.	Clarity
<b>6</b>		<b>Changes to railway standards</b>		
6.1	Proposals for changes to railway standards		In 6.1.1, clarifying that for this document a 'change' to an NTSN means the formal industry recommendation to create, revise or withdraw requirements.	Scope
			In 6.1.2, combining the previous points 6.1.2 and 6.1.1 to clarify that in regard to submitting proposals to change a standard, ISCC and standards committees follow the same process as any other individual or organisation.	Clarity
6.2	Consideration of proposals for changes to railway standards by standards committees		Minor editorial changes and expansion to 'railway standards' in line with the rest of section 6.	Editorial
6.3	Production of railway standards		Removing specific requirement to procure expertise as this is covered by point 6.3.1 (that appropriate expertise and experience shall be employed).	Clarity
6.4	Determination of consultation requirements by standards committees		Minor editorial changes and expansion to 'railway standards' in line with the rest of section 6.	Editorial
6.5	Process for full consultation with industry		Clarifying that industry consultation is not necessarily with all transport operators, for GDPR and practical reasons, but with all parties who have signed up to receive relevant consultations or those identified by standards committees, and that all interested parties must be given the opportunity to sign up to receive consultations.	Process
6.6	Consultation with standards committees		Permitting consultation within the standards committee where a change needs to be made urgently due to exceptional circumstances, for example a change to operating practice following an incident that needs to be made immediately.	Process
6.7	Approval and authorisation		Adding a requirement for RSSB to issue the NTSN recommendation to DfT following authorisation, and minor editorial changes to improve clarity.	Scope

From Code Issue 4	To Code Issue 5	Section title (in Issue 5)	Comments	Type of change
6.8		Publication of RGSs, RISs and NOPs	Clarifying that not necessarily all parties affected by the standard will be directly informed about publication (for GDPR or practical reasons). Instead this will be all parties who have signed up to receive such information plus the standards committees.	Process
6.9		Reviewing the effectiveness of RGSs and RISs	Minor editorial changes and expansion to 'railway standards' in line with the rest of section 6.	Editorial
6.10		Availability of withdrawn documents	Minor editorial changes and expansion to 'railway standards' in line with the rest of section 6.	Editorial
<b>7</b>		<b>Deviations from RGSs</b>		
7.1 (and 7.4)		Deviation applications	Clarification that deviations are required for NTRs contained in an RGS where compliance is required under the interoperability framework, to clarify that this is not required for RGSs requirements being applied in other circumstances. Other editorial changes are to improve clarity on the requirements for deviation applications.	Application
7.2		Consideration of deviation applications by standards committees	Clarification that the standards committee chair can decide whether an application is trivial or vexatious.	Process
7.3		Consultation on deviation applications	Minor editorial changes only.	Editorial
7.4		Approval and authorisation	Minor points of clarification for deviations <i>applications</i> and <i>certificates</i> .	Clarity
7.5		Publication	Minor points of clarification for deviation <i>applications</i> and <i>certificates</i> .	Clarity
<b>8</b>		<b>Intervention and appeals</b>		
8.1		Board intervention	Minor editorial changes and expansion to include action related to NTSNs and RISs in line with the rest of the document.	Scope
8.2		Appeals to the Board	No changes	N/A
8.3		Appeals to the ORR	No changes	N/A
<b>9</b>		<b>Administration of the Code and the Standards Manual</b>		

From Code Issue 4	To Code Issue 5	Section title (in Issue 5)	Comments	Type of change
9.1		Code implementation and transition arrangements	Updating Code issue numbers and publication dates	Editorial
			Minor clarification around ongoing elections when the new version of the Code is published.	Clarity
9.2		Proposals to amend the Code	Expansion to include parties who need to comply with NTSNs and RISs in line with the rest of the document.	Scope
9.3		Consideration of proposals to amend the Code by ISCC and the Board	No changes	N/A
9.4		Consultation on proposed change to the Code	Expansion to include parties who need to comply with NTSNs and RISs in line with the rest of the document.	Scope
9.5		Approval of the revised Code	Adding reference to the RSSB Constitution containing part of the process for approval of the revised Code.	Process
9.6		Publication of the revised Code	No changes	N/A
9.7		Production and maintenance of the Manual	No changes	N/A
9.8		Compliance with the Manual	No changes	N/A
<b>10</b>		<b>Publication</b>		
10.1		Publication	Removing the requirement to provide paper copies of the Code, Manual and RGSs, and minor editorial changes.	Process
10.2		Costs	No changes	N/A